











5. Environmental and Social Action Plan

The Action Plan has been prepared considering the initial ESAP agreed with the Concessionaire and the Lenders for the Financial Close, findings from the site visit and information submitted by the Concessionaire up to June 20th, 2023. The Concessionaire should fulfill each action within the established deadlines in concordance with international standards and local legislation. The status of each action will be evaluated according to the next color code:



-  **Solved:** Indicates actions that have been addressed by the Concessionaire and Arup has confirmed that the criterion/indicators that monitor the action have been fulfilled.
-  **Pending or delayed:** Indicates actions that have remained opened for several consecutive periods. The significance of the pending deadline is assessed based on the risk that the action poses on the Project.
-  **On track:** Indicates open actions that have been updated periodically by the Concessionaire and are currently monitored by Arup.
-  **New action:** Indicates new actions that have been opened during the current monitoring period.


Action ID	Proposed Action:	Status (June 2023)	Original Proposed Deadline	Color Code
1	<p>Environmental Liabilities:</p> <p>In 2018, Via 40 received 2 environmental licenses with outstanding environmental and social liabilities from the previous operator of the road. In accordance with Appendix 6/Chapter VII of the CA, the Concessionaire must comply with the pending obligations of the environmental licenses and perform an official closure with ANLA.</p> <p>As of November 2022, the Concessionaire still has pending the closure of 12 environmental liabilities in the LAM 1838 process and 7 liabilities in the LAM2370 process. Activities of 3 out of 12 liabilities of LAM1838 are already finished, but V40 is waiting for the official closure act from ANLA. 4 out of 7 liabilities of LAM2370 are already finished, but V40 is waiting for the official closure act from ANLA. The Concessionaire commented that the Project team is prioritizing the closing of the files to avoid ANLA incurring additional requirements retroactively, since at least 4 new items have arrived in 2022. V40 has accelerated the closure of these items by prioritizing the completion of the oldest actions and assigning specific responsible parties per UF and for the entire corridor. Responsibility is also clearly distributed between EPC and the Concessionaire for each of the liabilities.</p>	<p>The Concessionaire made progress with the closing of 5 environmental pending projects in the first half of 2023. There are at least 6 other actions that are already completed and only require ANLA approval to be closed. Arup observes that the progress and management of the Concessionaire has been adequate, and no significant risks are observed in the management of the remaining liabilities. This action won't be marked "on track" until the Concessionaire can confirm the closure of the 2 environmental license files with ANLA.</p>	<p>Summary for the first post-financial closure monitoring and periodic updates until official closure from ANLA.</p>	




Action ID	Proposed Action:	Status (June 2023)	Original Proposed Deadline	Color Code
	<p>Arup recognizes that, while this cannot be concluded before financial close, it is important to include this aspect in the ESAP as part of the periodic follow-up activities.</p> <p>UF: All</p> <p>PS/EP: PS1, PS3, PS4, PS5, PS6.</p>			
2	<p>Environmental Permits:</p> <p>Sections that require PAGAs must process all required permits with the environmental authorities. As of November 2022, Arup identifies at least 92 permits pending approval compared to 189 permits pending as of January 2022. To date, 10 permits have not been requested to the environmental authorities yet, but Arup does not identify a major risk for the Project's expected progress.</p> <p>The Concessionaire has already approved PAGAs for All UFs and permits for 8 ZODMEs. The UF2 environmental license modification is still pending. This was a main reason for the submittal of a new Schedule (B9), which has not yet been approved by the Owner's Supervisor nor the Lenders. Arup recommends accelerating the processing of all required approvals to avoid incurring new changes.</p> <p>Arup recognizes that, while this cannot be concluded before financial close, it is important to include it in the ESAP as part of the periodic follow-up activities.</p> <p>UF: All</p> <p>PS/EP: PS1</p>	<p>The Concessionaire moved forward with obtaining more than 30 permits in the first half of 2023. Processing times are more standardized with the authorities and there is a special taskforce to speed up the processes. UF1, UF2, UF3 and UF4 have practically all the permits required to date. On the other hand, the Project has 26 permits in process as of June 2023. For the time being, Arup does not observe significant risks in the management of environmental permits.</p> <p>This action will continue to be permanently monitored until the end of the construction stage, which is why it will remain "on track."</p> <p><u>Note on this action: Prior to the ESDD February 2023 update, the Project managed a monthly construction report under the bridge loan. After the financial close, the construction monitoring report is quarterly. For that reason, this action will now be tracked on a quarterly basis.</u></p>	Review in the monthly construction report.	
3	<p>ZODMEs:</p> <p>The Concessionaire received approval for 8 ZODMEs that are already in operations. There 4 others in study or assessment. Through the updated mass balance, it seems that the Project has the adequate ZODME capacity approved so far. Temporary disposals are no longer required, and the staff is already evaluating more potential zones to have as backup. This action must be monitored until the Concessionaire secures all the ZODMEs required for the Project.</p> <p>UF: All</p> <p>PS/EP: 3</p>	<p>To date, the Concessionaire has 10 approved ZODMEs and approximately 5,500,000 m³ of estimated capacity. At the moment, the Project would require 4,036,000 m³ of material to be disposed of, although this number may be lower as there is reuse of materials for construction uses, especially in UF6 and UF7. Arup considers that progress in these efforts is adequate and the risk of environmental impacts from handling excavated material has been reduced.</p> <p>This action is classified as "on track" since the Concessionaire has 3 additional zones in the pipeline and it has not been confirmed whether these will be the last to be requested for the entire construction phase.</p>	First E&S monitoring report after the financial close.	




Action ID	Proposed Action:	Status (June 2023)	Original Proposed Deadline	Color Code
4	<p>Environmental offset plans and 1% Investment Plan:</p> <p>The Concessionaire should submit the offset plan for each UF with information including size, type, and location of offsets including the 1% Investment Plan, associated activities, and the status of each one.</p> <p>As of December 2022, the Concessionaire reports a total of 523 Ha, equivalent to at least 553,500 individuals to be compensated between the requirements of the 7 UFs and the UF0. Progress is 3.8% of individuals planted, with higher advances in UFs 3, 6, 7 and 8. The 1% Investment Plan and the offsets associated with the UF2 license are on hold as the water concessions are not active yet. The 1% Investment Plan is a requirement to invest 1% of the Project's CAPEX in additional environmental programs that arises when a water concession is required under an environmental license. This could impact the original amounts to be offset, so Arup highlights this action as part of PS6 Compliance to monitor from financial close onwards.</p> <p>UF: All PS/EP: PS6</p>	<p>The Concessionaire has informed Arup that the Project is not contemplating to intake water from the five (5) capture points authorized in the environmental license for UF2. Water for activities in this UF will be purchased from a third party. Therefore, the 1% plan does not apply to the Project at this time.</p> <p>V40 has also shared the progress of each offset plan. Although the execution of these plans does not pose a risk to the Project at this time, this action remains “on track” as updates are expected for each monitoring report until all offsets are completed.</p>	First E&S monitoring report after the financial close and updates for each monitoring period until all offsets are completed.	
5	<p>Cultural assets identification and management: The Concessionaire has identified cultural assets with information given by Fusagasugá and Melgar authorities. Flandes to Girardot cultural authorities' response is pending. Since cultural assets are not always documented or legally protected, the Concessionaire should consult other sources such as the community, cultural institutes, and groups of interests about the presence and significance of these assets. In addition, the Concessionaire stated management of Cultural Heritage assets in UF 3 and 4 is not required. It is important to clarify the Project's impact on them to conclude if management strategies are needed.</p> <p>UF: All PS/EP: 8</p>	<p>The concessionaire has identified sites of importance that have not been declared. There are 6 sites identified by local authorities, 3 by JACS, 4 by communities, 13 by private owners. The concessionaire has:</p> <ol style="list-style-type: none"> 1. identified the assets; 2. removed the assets to allow for project-related works; 3. protected the assets near the site where they will be located or relocated; and 4. restored the assets. <p>It is important to document and support the completion of each step and the reception by for those involved</p>	<p>First E&S monitoring report after the financial close.</p> <p>This action is marked “on track”.</p>	
6	<p>National Authority (ICANH) Requirements: During the mid of 2022 ICANH visited the Project and gave different suggestions and improvements concerning team capacity, technical procedures, and administrative answers for</p>	In the Action Plan Excel, the concessionaire states that ICANH carried out a site visit in June, but the concessionaire has not provided any documentation on this issue.	First E&S monitoring report after the financial close.	




Action ID	Proposed Action:	Status (June 2023)	Original Proposed Deadline	Color Code
	<p>archaeology matters. During the last semester the Concessionaire changed the previous archeological contractors which included an increase in their staff from 7 to 13 archeologists. Nonetheless, night-time monitoring is being performed ignoring the procedure established, and requests for including additional areas in the Archeological Management Programs were not approved. There is pending information on the specialized qualifications of current staff, considering ICANH noted the importance of having adequate professional profiles for managing rock art contexts in “Hacienda Tequendama”.</p> <p>UF: All PS/EP: 8</p>	<p>There is also evidence of a communication from the ICANH in March 2023 referring to a citizen's complaint about night work without archaeological supervision. During the site visit, the Concessionaire stated these activities took place just in the areas of low archaeological potential. As part of the procedure, the soil is taken to a ZODME and is inspected the morning after to check there is no archeological material. Also, they send ICANH monthly reports on these activities, but these reports weren't shared with Arup. It is important to determine whether all activities carried out by the Concessionaire are in accordance with the national normativity, therefore, the concessionaire is required to provide documentation of all responses and actions taken to meet ICANH's requirements.</p> <p>There is pending information on the specialized qualifications of current archaeological staff.</p> <p>For the upcoming monitoring period, Arup requires the Concessionaire to submit reports regarding nighttime archaeological monitoring and ICANH approval; Also answers given to ICANH in compliance with each administrative finding reported on the past site visits, reports for ICANH's site and laboratory visits, and the CV archaeological staff.</p>		
7	<p>Internal grievance mechanism: Arup identifies compliance in topics related to labor and working conditions. However, there is a pending submittal regarding the internal grievance mechanisms. Arup suggests the Concessionaire send documentation supporting these aspects before financial close. Statistics related to the response times for internal grievances are expected. Evidence is also expected for follow-up on the labor conditions of workers hired by third parties.</p> <p>UF: All PS/EP: PS2</p>	<p>During this period, the Concessionaire submitted the procedure for internal grievances, which includes the mediums through which workers can submit their grievances. However, Arup was unable to confirm if there have been any submissions to date since no information was received. Therefore, for the upcoming monitoring period, Arup recommends sending a database with received internal grievances. If there are none, this should also be informed.</p>	First E&S monitoring report after the financial close.	
8	<p>Working conditions by third parties: According to the Performance Standard 2 provisions, the Project should work on a procedure and mechanism to follow-up the labor conditions of workers hired by third parties and the main supply chain.</p> <p>Evidence is also expected for follow-up on the labor conditions of workers hired by third parties.</p>	<p>The Concessionaire submitted the procedure to verify the labor conditions of the main contractors and suppliers. The procedure reviews several aspects and scores the company based on their performance. Arup recommends updating this evaluation if new contractors are added or if the Concessionaire considers it necessary. The action is marked as “solved” during this monitoring period.</p>	First E&S monitoring report after the financial close.	





Action ID	Proposed Action:	Status (June 2023)	Original Proposed Deadline	Color Code
	<p>UF: All</p> <p>PS/EP: PS2</p>	<p><u>Important note: In the attachment entitled "20230731 AnnexA EvidenceOfESAPClosedActions.zip", Arup sends the evidence to validate this action as "solved" by the Concessionaire.</u></p>		
9	<p>Retrenchment Plan: The Concessionaire has provided a Retrenchment Plan. However, some gaps were identified about:</p> <ul style="list-style-type: none"> - Record of statistics. - Implementation of other alternatives prior to decide on the retrenchment execution. - Traceability of the plan. - Partnerships with stakeholders to ease personnel transition to other jobs. - Follow-up reports. <p>Therefore, Arup recommends complementing the Retrenchment Plan following the provisions stated in Annex E of the PS2 Guidance Note "Contents of a Retrenchment Plan". The plan must demonstrate that retrenchment is the only viable alternative and Arup recommends that the Concessionaire consider partnerships with regional and local entities that support the transition of staff to other jobs.</p> <p>UF: All</p> <p>PS/EP: PS2</p>	<p>The Concessionaire has informed Arup that they are currently collecting the data and preparing the information needed to design the retrenchment plan. Arup will review this document once it is shared on the proposed deadline.</p>	<p>Second E&S monitoring report after the financial close.</p>	
10	<p>Noise pollution monitoring and mitigation measures: Arup identifies that the noise monitoring results in the baseline conducted in 2017 for the Project already have values that exceed the limits of the national and international standards. Considering this, the Concessionaire should ensure that these values are not further exceeded. Arup considers it appropriate that the Concessionaire and the EPC conduct at least an intermediate monitoring campaign for the construction stage and a monitoring campaign when the construction stage concludes. This action will allow to validate that the work activities do not contribute to these values and improve or at least maintain the baseline conditions. Arup is expecting the Concessionaire to submit evidence of the noise monitoring and comparisons with the baseline data. If the increase is not clearly attributable to the Project, the justifications, and the</p>	<p>The Concessionaire has already designed noise monitoring plans with 3 monitoring milestones: baseline, intermediate monitoring at 50% of construction at each construction site, and one monitoring at the end of construction. These measures will make it possible to validate that there are no contributions to noise levels from the new works. Arup considers this measure to be adequate and will wait to obtain initial results of noise exposure at the defined points. The points are associated with areas with urban crossings and populated areas on the banks of the ROW.</p> <p>On the other hand, noise mitigation measures (barriers) were implemented in UF4, and the Concessionaire will complete construction in August 2023. This sector is the only one that</p>	<p>First E&S monitoring report after the financial close.</p>	



Action ID	Proposed Action:	Status (June 2023)	Original Proposed Deadline	Color Code
	prevention and/or corrective actions taken should be also submitted. UF: All PS/EP: PS3	required these measures under the environmental licenses because UF4 was greenfield for the previous concessionaire. This action will continue "on track" until the Concessionaire completes the baseline and the intermediate monitoring is also executed.		
11	Water and energy consumption data: The Concessionaire has plans for efficient use of water and energy and has authorized 5 water concessions and connections to energy distribution networks in operating buildings (CCOs, tolls, Sumapaz tunnel, asphalt plants, among others). However, Arup considers it important to receive detailed information on water and energy consumption that includes quantitative data so that the Concessionaire has references to take timely and appropriate actions to reduce consumption in both construction and O&M activities (as requested by the PS 3). Arup recommends the Concessionaire to add a list of actions implemented with EPC to achieve reduction and efficient use. UF: All PS/EP: PS3	The Concessionaire started with the collection of water and energy consumption data from internal sustainability requirements of its now main sponsor (VINCI Concessions). In the analysis presented in July 2023, they identified some oscillating aspects dependent on factors external to the Project (e.g., additional water consumption by immigrants using the Via Esperanza facilities or reductions in energy consumption when there are fortuitous shortages in the Sumapaz Tunnel). In general, they identify the Sumapaz Tunnel as the structure that requires the greatest effort in terms of resources. In the analysis, the Concessionaire identifies operational or administrative consumption that can be reduced with additional measures that are already being considered, such as updating lighting and sanitary equipment. Arup identifies that the Concessionaire's approach is adequate and praises the fact that this requirement is no longer only external, but also comes from the parent company. Therefore, this action is marked as "solved," but the Concessionaire is encouraged to continue reporting this information under the PS3 and EPIV requirements. <u>Important note: In the attachment entitled "20230731 AnnexA EvidenceOfESAPClosedActions.zip", Arup sends the evidence to validate this action as "solved" by the Concessionaire.</u>	First E&S monitoring report after the financial close.	
12	H&S Management System – Review and implementation of the action plan Arup highlights that the Concessionaire implemented improvement actions from the root cause report of the fatality occurred in August 2022. However, Arup considers the Concessionaire should take the opportunity to make a similar review, in a preventive manner, in other technical areas of the Project. This has already been initiated, but it is important that the IESC receives more detailed documentary evidence on the subject and follow-ups for the monitoring periods. UF: All	The Concessionaire confirmed that it has implemented all the improvement actions that arose from the fatality of August 2022. This includes the work of 2 hoisting supervisors who have been active on the Project for more than 6 months. Also reported were the reviews made by the Concessionaire together with the EPC for similar risk aspects in other construction activities. Arup had access to the information associated with these improvements and the follow-up on H&S objectives and accident prevention and protection measures. This approach is appropriate on the part of the Concessionaire and the EPC and Arup considers that their feedback has been positive. On the other hand, Arup considers the action to be marked as "on track" until the Licensee can finalize the installation of the last outstanding remote-control equipment for one of the truck	First E&S monitoring report after the financial close.	

Action ID	Proposed Action:	Status (June 2023)	Original Proposed Deadline	Color Code
	PS/EP: PS2	cranes. It is hoped to be able to witness one of the procedures at the next October 2023 site visit.		
13	<p>Archaeological Management Plan “Hacienda Tequendama”: Arup requires to the Concessionaire the Archaeology Preventive Management Plan for Hacienda Tequendama as well as the ICANH’s approvals.</p> <p>UF: 7</p> <p>PS/EP: PS8</p>	<p>The concessionaire states that there have been monthly meetings with ICANH and monthly progress reports on the Tequendama archaeological area, but none of this information has been provided to Arup.</p> <p>For the next monitoring period, Arup will be required to submit reports on all activities related to the Hacienda Tequendama archaeological site, as well as ICANH approval and communications</p>	First E&S monitoring report after the financial close.	
14	<p>Resettlement Plan: The project should deliver a Resettlement Plan that integrates: the Land Acquisition Plan, the Socioeconomic Compensation Plan, and these Social Programs: Grievance Mechanism, and the support social management plan to the land acquisition program. The Concessionaire could consider an independent third-party consultant to support the RAP development and monitoring.</p> <p>UF: All</p> <p>PS/EP: PS5</p>	<p>As requested by Arup, the Concessionaire is setting a Resettlement Action Plan (RAP). It also followed Arup’s recommendation to consider an independent third-party consultant to support the RAP development and hired Global Link Solutions Services (GLS) to this purpose. The strategy for building the chapters is to evidence the Concessionaire’s execution with Land Acquisition Plan, Socioeconomic Compensation Plan, and Social Plan for Supporting Property Acquisition. IFC’s requirements that signify gaps with the national normativity will be implemented after having a legal concept.</p> <p>The RAP must be finished and delivered next quarter. Therefore, the action is marked “on track.”</p>	<p>Review of progress in framework, methodologies, schedules, functions, and responsible parties for the first E&S monitoring report after the financial close.</p> <p>Review of the Resettlement Plan for the second E&S monitoring report after the financial close</p>	
15	<p>Resettlement Plan: Include in the Resettlement Plan the number of visits or time over which these are carried out in order to guarantee the effectiveness of the accompaniment strategy that allows validation that the restoration of the livelihoods of each US has been completed and is sustainable over time.</p> <p>According to the concession contract, technical appendix 8, a minimum of 3 visits must be made for a period of one (1) year, in order to carry out the process of adaptation to the new habitat. However, these visits are not limited to three (3), but the monitoring and accompaniment will continue until the initial conditions are re-established or improved.</p> <p>UF: All</p> <p>PS/EP: PS5</p>	<p>During the site visit the Concessionaire informed that it is currently performing more than three visits and is taking more time in them than the time defined by national normativity, if necessary. Visits are performed until the Concessionaire considers they could be reestablished after comparing their conditions, which is compliant with IFC’s requirements. This arrangement should be included in the RAP and review next quarter.</p> <p>For the following reports the Concessionaire must share evidence on all the monitoring visits to assess the reestablishment/ improvement of life conditions and livelihoods, that took place during the reported period.</p> <p>This action is marked “on track.”</p>	<p>Review of progress in framework, methodologies, schedules, functions and responsible parties for the first E&S monitoring report after the financial close.</p> <p>Review of the Resettlement Plan for the second E&S monitoring report after the financial close</p>	

Action ID	Proposed Action:	Status (June 2023)	Original Proposed Deadline	Color Code
16	<p>Resettlement Plan: Include in the Resettlement Plan the identification of the vulnerable people that will require specific monitoring by the Project team trained for this purpose, to guarantee the reestablishment of their livelihood housing conditions and/or means of subsistence in equal or better conditions than the original ones.</p> <p>UF: All PS/EP: PS5</p>	<p>According with the information shared related to the RAP, the Concessionaire has defined vulnerability in a manner that needs to be broadened to be aligned with IFC's requirements and benefit people under different categories of vulnerability. The Concessionaire should use PS5 Guidance Note 29 as guideline for defining "vulnerable people."</p> <p>Arrangements on vulnerable people will be reviewed next quarter.</p> <p>This action is marked "on track."</p>	<p>Review of progress in framework, methodologies, schedules, functions and responsible parties for the first E&S monitoring report after the financial close.</p> <p>Review of the Resettlement Plan for the second E&S monitoring report after the financial close</p>	
17	<p>Resettlement Plan: Compensations to third parties:</p> <ul style="list-style-type: none"> Evaluation of the socioeconomic impacts caused by the project to workers and employees in Economic Units. Propose management strategies to reduce the impacts caused to informal workers without legally recognize/property ownership that could not continue to the previous work. This could include and not limited to conduct a socio-economic baseline of this population (to the best extent possible) and an estimate of the proposed size of the compensation required to compensate informal workers under PS5, in line with any eligibility and entitlements set out in a IFC PS5-compliant RAP. Include in the Resettlement Plan the strategy, methodology and actions to tackle the RAP objectives of those employees of Economic Units who are unable to maintain their activities, even if the contractual requirements do not consider it, and ensure that they are able to re-establish their access to assets of income sources or other means of livelihood and receive the necessary support. <p>UF: All PS/EP: PS5</p>	<p>The Concessionaire did not share information on this regard and should share it for next quarter. Otherwise, this action will be marked as "pending."</p> <p>This action is marked "on track."</p>	<p>First E&S monitoring report after the financial close.</p>	
18	<p>Resettlement Plan: Depreciation costs.</p> <p>The Concessionaire must estimate the depreciation costs for the affected buildings/houses and how this could be practically remedied, linked to the monitoring process to ensure that all parties have been able to re-establish their</p>	<p>The Concessionaire did not share information on this regard and should share it for next quarter. Otherwise, this action will be marked as "pending."</p> <p>This action is marked "on track."</p>	<p>First E&S monitoring report after the financial close.</p>	

Action ID	Proposed Action:	Status (June 2023)	Original Proposed Deadline	Color Code
	livelihood standards. If the analysis shows that this item does affect any of the SU, the Concessionaire must propose a remediation plan with actions to correct the situation. UF: All PS/EP: PS5			
19	Resettlement Plan: The Concessionaire must consider an independent third-party consultant to support the RAP completion audit. Include in the Resettlement Plan the completion audit to certify PS5 compliance. The completion audit report should contain as recommended in the PS5 Guidance Note "Annex B Completion Audit Table of Contents". UF: All PS/EP: PS5	The Concessionaire did not share information on this regard and should share it for next quarter. This action is marked "on track."	Second E&S monitoring report after the financial close. Execution of the final audit: Within 6 months after the completion of the implementation of the Resettlement Plan.	
20	Climate Change Risk Assessment - CCRA: For the ESDD, V40E sent support for the semi-annual CCRAs that it was developing during the bridge loan monitoring and as part of its compliance with the EP and PS1. However, in this period, there is no evidence of the development of this study for the Project in 2023; therefore, Arup requests the Concessionaire to confirm the reactivation of the periodic CCRAs for the following period and the frequency in which they will be carried out. UF: All PS/EP: PS1, EP2	New Action.	Next Monitoring Period: October-December 2023 (VDR deadline: January 10 th , 2024)	
21	Emergency Preparedness and Response: Although the Concessionaire has implemented proper Emergency Response through the development of an Emergency and Contingency Plan, it is important that within the actions of divulgation and training for this plan the community within the AI be included as they can become first responders or alert. Arup suggests that for the next monitoring period these divulgations be included, and these spaces of knowledge and participation be developed. UF: All PS/EP: PS1, PS4, EP2	New Action	Next Monitoring Period: July-September 2023 (VDR deadline: October 10 th , 2023)	

Action ID	Proposed Action:	Status (June 2023)	Original Proposed Deadline	Color Code
22	<p>Stakeholder Engagement: Within the stakeholder engagement plan and external communications, it is important that the Concessionaire implements, apart from the communication mechanisms that are already in place, mechanisms of higher population outreach, as are TV and Radio, in order to better communicate towards community and users.</p> <p>UF: All</p> <p>PS/EP: PS1, EP2</p>	New Action	<p>Next Monitoring Period: July-September 2023</p> <p>(VDR deadline: October 10th, 2023)</p>	
23	<p>Evidence of protocols to improve Traffic Management Plans: Arup was able to speak with the concessionaire's director of operations. This conversation validated that the Concessionaire and the EPC have joint work sessions to identify critical safety issues for users and residents of the Project related to the TMPs and active construction sites. These sessions also include findings of a prioritization protocol, in which critical and intolerable findings are immediately addressed by summoning EPC personnel to the site to improve conditions. Due to the high number of simultaneous TMPs on the road and the complaints from users, Arup requests that for the next period the Concessionaire provides evidence of these sessions and the analysis carried out jointly with the EPC to identify accident points related to active TMPs.</p> <p>UF: All</p> <p>PS/EP: PS1, PS2, PS4</p>	New Action	<p>Next Monitoring Period: July-September 2023</p> <p>(VDR deadline: October 10th, 2023)</p>	
24	<p>Archaeological activities. From this monitoring and forward, it is important to send support for the archaeological activities developed by the concessionaire (documents, minutes of ICANH meetings, progress matrices related to surveys and monitoring etc.) and not just the communications received by the Authority.</p> <p>UF: All</p> <p>PS/EP: P8</p>	New Action	<p>Next Monitoring Period: July-September 2023</p> <p>(VDR deadline: October 10th, 2023)</p>	
25	<p>Involuntary resettlement: For the upcoming reports, the Concessionaire should share updated information and evidence on:</p> <ul style="list-style-type: none"> • Number of the Social Units that have been identified, and number of people that will be impacted exclusively by economic displacement. • Socioeconomic compensation values. 	New Action	<p>Next Monitoring Period: July-September 2023</p> <p>(VDR deadline: October 10th, 2023)</p>	

Action ID	Proposed Action:	Status (June 2023)	Original Proposed Deadline	Color Code
	<ul style="list-style-type: none"> Number of SU to be relocated and relocated. Number of properties to be acquired by expropriation processes and number of SU in them as well as the Socioeconomic Compensations that are identified for them. <p>UF: All PS/EP: PS5</p>			
26	<p>Eligibility for benefits under Resettlement Action Plan: PS5 defines the cut-off date as the date when the census and assets inventory are completed. People identified to that date are eligible for the RAP benefits. Therefore, all physically and/or economically displaced people impacted by the Project, that the Concessionaire has identified through censuses and other identification/characterization tools, should be included within RAP. Meaning compensation, additional assistance, and monitoring arrangements that are set in the RAP and have not been applied through Land Acquisition Plan, Socioeconomic Compensation Plan, and Social Plan for Supporting Property Acquisition should be apply retroactively.</p> <p>Arup requests information on the eligibility criteria for the people that will be covered by the RAP.</p> <p>UF: All PS/EP: PS5</p>	New Action	<p>Next Monitoring Period: July-September 2023 (VDR deadline: October 10th, 2023)</p>	
27	<p>Economic displacement: There are small businesses located in front of the road that are being affected by rehabilitation works nearby the Chinauta toll (U 3). They are mainly <i>paradores</i> (restaurants and cafeterias) for which income depends on passing traffic. As part of the work, the third lane and the car access were closed.</p> <p>IFC defines “economic displacement” as the interruption or elimination of people’s access to jobs or productive assets caused by project-related land acquisition or restrictions on land use, whether the affected persons must move to another location or not.</p> <p>Accordingly, the Concessionaire must identify all cases of economic displacement in line with IFC’s definition. People impacted by it should receive compensation and other assistance coherent with the level of the impact. Dispositions regarding economic displacement should be incorporated into the RAP, including identification of the impacted people and the level of the impact, measures to address it, and monitoring considerations.</p> <p>Arup requests evidence on the above-mentioned actions.</p>	New Action	<p>Next Monitoring Period: July-September 2023 (VDR deadline: October 10th, 2023)</p>	

Action ID	Proposed Action:	Status (June 2023)	Original Proposed Deadline	Color Code
	<p>UF: All PS/EP: PS5</p>			
28	<p>Potential impacts to Azafranal community: Vereda Azafranal (Sylvania municipality) members have blocked the road in UF6 on two occasions: November 2022 and April 2023. The community claimed about cracking in their housing alleging it was caused by Project’s work. Several grievances have been raised on this matter by the community and the Concessionaire has concluded that it is not responsible for the alleged damages using <i>Actas de vecindad</i>. However, it is important to technically assess and determine the causes of the problem.</p> <p>Since August 2022, work on some fronts have been stopped due to an increase in land movements. Land movement in Sylvania could pose risks to the communities.</p> <p>For the upcoming periods the Concessionaire should share:</p> <ul style="list-style-type: none"> • The report about the threads to the community. • The study to determine the cause of cracking issues. • Grievances received on this matter and management made by the Concessionaire. • Evidence on the periodic reports and information given to the community. • Evidence on the working tables with other stakeholders. <p>UF: All PS/EP: PS1, PS4</p>	New Action	<p>Next Monitoring Period: July-September 2023 (VDR deadline: October 10th, 2023)</p>	●